

PTcalc Manual

Kokichi Futatsugi

September 3, 2021

1 Overview

A CafeOBJ's specification (i.e. a module) M contains equations and defines the set of constructor models $\mathbb{M}od(M)$ each element of that satisfies the equations. PTcalc (Proof Tree Calculus) is a refined version of a CafeOBJ version of CITP (Constructor-based Inductive Theorem Prover) and helps to prove a property holds for any model in $\mathbb{M}od(M)$. A property is described as a Boolean ground term p with fresh constants that correspond to the parameters of the property.

If the term p is deduced to be equal to **true** with the M 's equations (in symbols $M \vdash_{\bar{e}} p$), any model of M satisfies p (in symbols $M \models p$) by the soundness of equational deduction (in symbols $M \vdash_{\bar{e}} p \Rightarrow M \models p$). If the term p is reduced to **true** by using the M 's equations as reduction (rewriting) rules from left to right (in symbols $M \vdash_{\bar{r}} p$), $M \vdash_{\bar{e}} p$ holds by the soundness of reduction with respect to equational deduction (in symbols $M \vdash_{\bar{r}} p \Rightarrow M \vdash_{\bar{e}} p$). Let $M \vdash_{\bar{c}} p$ denote that the CafeOBJ reduction command “**red in** $M : p$.” returns **true**. CafeOBJ's reduction is an implementation of $M \vdash_{\bar{r}} p$ and we get $M \vdash_{\bar{c}} p \Rightarrow M \vdash_{\bar{r}} p$. Because implication (\Rightarrow) is transitive the following proof rule is obtained.

$$(1) \quad M \vdash_{\bar{c}} p \Rightarrow M \models p$$

Usually $M \vdash_{\bar{c}} p$ is difficult to prove directly, and we need to find case splitting equations e_1, \dots, e_n such that at least one of them holds for any model in $\mathbb{M}od(M)$. That is, the equations cover all the possibilities (i.e. are exhaustive). Let M_{+e_i} be the module gotten by adding e_i to M , then each model in $\mathbb{M}od(M)$ is a model of M_{+e_j} for some $j \in \{1, 2, \dots, n\}$, and we get the following proof rule of **case split with exhaustive equations**.

$$(2) \quad (M_{+e_1} \models p \wedge M_{+e_2} \models p \wedge \dots \wedge M_{+e_n} \models p) \Rightarrow M \models p$$

$M_{+e_i} \vdash_{\bar{c}} p$ would be still difficult to prove and (2) is applied repeatedly. The repeated applications of (2) generate **proof trees** successively. Each of the generated proof trees has the **root node** $M \models p$ and each of other **nodes** is of the form $M_{+e_{i_1} \dots + e_{i_m}} \models p$ ($m \in \{1, 2, \dots\}$) that is generated as a **child node** of $M_{+e_{i_1} \dots + e_{i_{m-1}}} \models p$ by applying (2). A **leaf node** (i.e. a node without

child nodes) $M_{+e_{i_1} \dots + e_{i_k}} \models p$ ($k \in \{0, 1, \dots\}$) of a proof tree is called **effective** if $M_{+e_{i_1} \dots + e_{i_k}} \vdash_c p$ holds. A proof tree is called effective if all of whose leaf nodes are effective. PTcalc proves $M \models p$ by constructing an effective proof tree whose root node is $M \models p$.

2 PTcalc Commands

Each of the PTcalc commands starts with the keyword `:goal`, `:def`, `:apply`, `:csp`, `:ctf`, `:init`, `:red`, `:show`, `:describe`, `:select`, or `:set`. The head character `:` distinguishes them from ordinary CafeOBJ commands.

In PTcalc, a node in a proof tree is a special CafeOBJ module and called a **goal**. Each goal gl has a **name** like `root` or `1-2-3` (i.e. 3rd child of 2nd child of 1st child of `root`), and consists of the following five items.

- (1) The **next target (or default) goal** Boolean tag $\text{NTG}(gl)$ that indicates a goal where a PTcalc command is executed. ($\text{NTG}(gl) = \text{true}$) holds for at most one goal gl in a proof tree.
- (2) The **context module** $\text{CTM}(gl)$ that is a CafeOBJ module and corresponds to M of $M \models p$. The goal gl inherits (imports) all the contents of $\text{CTM}(gl)$.
- (3) The set of **introduced axioms (i.e. assumptions)** $\text{INA}(gl)$ that corresponds to $+e_{i_1} \dots + e_{i_m}$ of $M_{+e_{i_1} \dots + e_{i_m}} \models p$.
- (4) The set of **sentences (or equations) to be proved** $\text{STP}(gl)$ that corresponds to p of $M_{+e_{i_1} \dots + e_{i_m}} \models p$.
- (5) The **discharged** Boolean tag $\text{DCD}(gl)$ that indicates whether gl is already discharged (i.e. proved).

$(\text{CTM}(gl) \cup \text{INA}(gl)) \models \text{STP}(gl)$ corresponds to $M_{+e_{i_1} \dots + e_{i_m}} \models p$, where $(\text{CTM}(gl) \cup \text{INA}(gl))$ is understood as the module gotten by adding all the equations in $\text{INA}(gl)$ to $\text{CTM}(gl)$, and $\text{STP}(gl)$ is understood as the conjunction of its elements. gl sometimes means $(\text{CTM}(gl) \cup \text{INA}(gl))$.

The effects of PTcalc command executions are defined in the following subsections where a succession of commands "`:cmd1 :cmd2`" means executing `:cmd2` after executing `:cmd1`.

2.1 :goal

For $n \in \{1, 2, \dots\}$ unconditional equations eq_i ($i \in \{1, 2, \dots, n\}$) a `:goal` command

`:goal{eq1 eq2 ... eqn}`

initiates a proof by generating a proof tree that consists only of the goal `root` as follows.

- (1) $\text{NTG}(\text{root}) = \text{true}$.
- (2) $\text{CTM}(\text{root})$ is the **current module** of CafeOBJ.
- (3) $\text{INA}(\text{root}) = \{\}$ (i.e. the empty set).
- (4) $\text{STP}(\text{root}) = \{eq_1, eq_2, \dots, eq_n\}$.
- (5) $\text{DCD}(\text{root}) = \text{false}$.

A CafeOBJ module M becomes the current module by executing a CafeOBJ command “`select M .`”. If some contents (i.e. sorts, operators, equations) are declared after a CafeOBJ command “`open M .`”, the opened tentative module % obtained by adding the contents to M becomes the current module.

2.2 :def

A `:def` command gives a name to a `:csp` command, a `:init` command, or a sequence (\dots) of command names as follows.

```
:def csid = :csp...
:def inid = :init...
:def sqid = (id1 id2 ... idn) (n ∈ {1, 2, ...})
```

Each id_i ($i \in \{1, 2, \dots, n\}$) is the built-in command name `rd-` or `rd`, or the already defined `:csp` command name $csid$, `:init` command name $inid$, or (\dots) command name $sqid$.

2.3 :apply(cs_{id}) and :csp{...} and :ctf{eq l = r .}

Let (a) tg be a goal such that $(NTG(tg) = \text{true})$ and (b) $csid$ be the name of a `:csp` command defined as follows with $n \in \{1, 2, \dots\}$ equations eq_i ($i \in \{1, 2, \dots, n\}$).

```
:def csid = :csp{eq1 eq2 ... eqn}
```

Then executing the command

```
:apply(csid)
```

generates n sub-goals (i.e. child goals) $tg-1, tg-2, \dots, tg-n$ of tg as follows.

- (1:1) Change $NTG(tg)$ from `true` to `false`.
- (1:2) $NTG(tg-1) = \text{true}$.
- (1:3) $NTG(tg-i) = \text{false}$ ($i \in \{2, \dots, n\}$).
- (2) $CTM(tg-i) = CTM(tg)$ ($i \in \{1, 2, \dots, n\}$).
- (3) $INA(tg-i) = INA(tg) \cup \{eq_i\}$ ($i \in \{1, 2, \dots, n\}$).
- (4) $STP(tg-i) = STP(tg)$ ($i \in \{1, 2, \dots, n\}$).
- (5) $DCD(tg-i) = \text{false}$ ($i \in \{1, 2, \dots, n\}$).

Executing the `:csp` command

```
:csp{eq1 eq2 ... eqn}
```

without giving a name has the same effect as defined above.

`:ctf{eq l = r .}` is the abbreviation of

```
:csp{eq l = r . eq (l = r) = false .}
```

2.4 :apply(inid) and “:init as eqid [lb] by {sbst}”

Let (a) tg be a goal such that $(NTG(tg) = \text{true})$, (b) lb be a label of an equation in tg (i.e. in $CTM(tg) \cup INA(tg)$) with a set of labels $lbset$ that includes lb , (c) $inid$ be the name of a `:init` command defined as follows with a new equation name $eqid$ and a substitution $sbst$, where $sbst$ is a sequence of $n \in \{1, 2, \dots\}$ variable-term pairs “ $v_i \leftarrow t_i$;” ($i \in \{1, 2, \dots, n\}$).

```
:def inid = :init as eqid [lb] by {sbst}
```

“as *eqid*” can be omitted, [*lb*] can be replaced with (*eq*) by writing *eq* directly, and “by {*sbst*}” can be omitted by replacing it with “.”. Let “ceq *l* = *r* if *c* .” be the equation with the label *lb* or *eq* (ceq stands for conditional equation). An unconditional equation is understood to have the condition **true**, i.e., *c* = **true**.

Then executing the command

```
:apply(inid)
```

generates a sub-goal *tg-1* of the goal *tg* as follows, where \widehat{l} , \widehat{r} , \widehat{c} are normal forms of *sbst*(*l*), *sbst*(*r*), *sbst*(*c*) in the goal *tg*. If “by {*sbst*}” is omitted, *sbst* is understood to be the identity function. The equation “ceq *l* = *r* if *c* .” itself is not used to get the normal forms if it is declared with (...) (i.e. it is *eq*). The equation is, however, used if it is the equation with the label *lb* and without **nonexec** attribute, hence the **nonexec** attribute should be declared if the label *lb* is supposed to be used in a **:init** command. Note that, if the condition *c* is **true**, using “ceq *l* = *r* if *c* .” for getting \widehat{l} , \widehat{r} , \widehat{c} generates a trivial equation “ceq $\widehat{r} = \widehat{r}$ if **true** .” i.e., “eq $\widehat{r} = \widehat{r}$.” (see 3:1 below).

- (1:1) Change NTG(*tg*) from **true** to **false**.
- (1:2) NTG(*tg-1*) = **true**.
- (2) CTM(*tg-1*) = CTM(*tg*).
- (3:0) INA(*tg-1*) = INA(*tg*).
- (4) STP(*tg-1*) = STP(*tg*).
- (5) DCD(*tg-1*) = **false**.
- (3:1) Add “ceq[*eqid*]:**nonexec** : $\widehat{l} = \widehat{r}$ if \widehat{c} .” to INA(*tg-1*)
if $(\widehat{c} = \mathbf{false}) \vee (\widehat{l} = \widehat{r})$.
- (3:2) Add “eq[*eqid*] : $\widehat{r} = \widehat{l}$.” to INA(*tg-1*)
if $(\widehat{c} = \mathbf{true}) \wedge \neg(\widehat{l} = \widehat{r}) \wedge ((\widehat{l} = \mathbf{true}) \vee (\widehat{l} = \mathbf{false}))$.
- (3:3) Add “eq[*eqid*] : $\widehat{l} = \widehat{r}$.” to INA(*tg-1*)
if $(\widehat{c} = \mathbf{true}) \wedge \neg(\widehat{l} = \widehat{r}) \wedge \neg((\widehat{l} = \mathbf{true}) \vee (\widehat{l} = \mathbf{false}))$.
- (3:4) Add “ceq[*eqid*] : $\widehat{r} = \widehat{l}$ if \widehat{c} .” to INA(*tg-1*)
if $\neg((\widehat{c} = \mathbf{true}) \vee (\widehat{c} = \mathbf{false})) \wedge \neg(\widehat{l} = \widehat{r}) \wedge$
 $((\widehat{l} = \mathbf{true}) \vee (\widehat{l} = \mathbf{false}))$.
- (3:5) Add “ceq[*eqid*] : $\widehat{l} = \widehat{r}$ if \widehat{c} .” to INA(*tg-1*)
if $\neg((\widehat{c} = \mathbf{true}) \vee (\widehat{c} = \mathbf{false})) \wedge \neg(\widehat{l} = \widehat{r}) \wedge$
 $\neg((\widehat{l} = \mathbf{true}) \vee (\widehat{l} = \mathbf{false}))$.

Executing the **:init** command

```
:init as eqid [lb] by {sbst}
```

without giving a name has the same effect as defined above.

2.5 :apply(rd-)

Let (a) *tg* be the goal such that (NTG(*tg*) = **true**) and (b) STP(*tg*) = {*eq*₁, *eq*₂, ..., *eq*_{*n*}} (*n* ∈ {1, 2, ..., *n*}) with *l_i* and *r_i* being the left hand and the right hand of *eq_i* (*i* ∈ {1, ..., *n*}). Let a goal *gl-s* be a **sibling goal** of a goal *gl* if *gl-s* and *gl* are child goals of the same goal.

Then executing the command

:apply(rd-)

changes $STP(tg)$, $DCD(-)$, $NTG(-)$ with the following procedure. If $INA(tg)$ contains executable (i.e. not having **:nonexec** attribute) “**eq true = false .**” or “**eq false = true .**”, the **contradictory** equation has been generated with the equations in $CTM(tg) \cup INA(tg)$ in the execution of **:init** command (see 2.4) and the goal tg can be discharged.

IF ($INA(tg)$ does not contain executable
“**eq true = false .**” or “**eq false = true .**”)
THEN
[For $i \in \{1, \dots, n\}$ let \widehat{eq}_i be the normal form of $(l_i = r_i)$ in tg] ;
[(4) For $i \in \{1, \dots, n\}$ erase eq_i from $STP(tg)$ if $(\widehat{eq}_i = \mathbf{true})$] ;
IF not($STP(tg) = \{\}$) **THEN STOP FI**
FI ;
[(5:1) Change $DCD(tg)$ to **true**] ;
[(1:1) Change $NTG(tg)$ to **false**] ;
[Let tmp be tg] ;
WHILE ($(DCD(tmp-s) = \mathbf{true})$ for any sibling goal $tmp-s$ of tmp)
DO
[(5:2) Change $DCD(tmp-p)$ to **true** for the parent goal $tmp-p$ of tmp] ;
[Let tmp be $tmp-p$]
OD ;
[(1:2) Change $NTG(lf)$ to **true** for the goal lf that is the first leaf goal
in the lexicographic order such that $(DCD(lf) = \mathbf{false})$
if such goal lf exists]

2.6 :apply(rd)

Let (a) tg be the goal such that $(NTG(tg) = \mathbf{true})$ and (b) $STP(tg) = \{eq_1, eq_2, \dots, eq_n\}$ ($n \in \{1, 2, \dots\}$) with l_i and r_i being the left hand and the right hand of eq_i ($i \in \{1, \dots, n\}$).

Then executing the command

:apply(rd)

generates a sub-goal $tg-1$ of the goal tg and changes $STP(tg-1)$, $DCD(-)$, $NTG(-)$ as follows.

(1:1) Change $NTG(tg)$ from **true** to **false**.
(1:2) $NTG(tg-1) = \mathbf{true}$.
(2) $CTM(tg-1) = CTM(tg)$.
(3) $INA(tg-1) = INA(tg)$.
(4:1) $STP(tg-1) = STP(tg)$.
(5:1) $DCD(tg-1) = \mathbf{false}$.

IF ($INA(tg-1)$ does not contain executable
“**eq true = false .**” or “**eq false = true .**”)

THEN
[For $i \in \{1, \dots, n\}$ let $\widehat{l}_i, \widehat{r}_i, \widehat{eq}_i$ be the normal forms
of $l_i, r_i, (l_i = r_i)$ in $tg-1$] ;
[(4:2) For each $eq_i \in STP(tg-1)$ ($i \in \{1, \dots, n\}$)
if $(\widehat{eq}_i = \mathbf{true})$ **then** erase eq_i
else replace eq_i with “**eq $\widehat{l}_i = \widehat{r}_i .$ ” fi] ;**

```

IF not(STP(tg-1) = {}) THEN STOP FI
FI ;
[ (5:2) Change DCD(tg-1) to true ] ;
[ (1:3) Change NTG(tg-1) to false ] ;
[ Let tmp be tg-1 ] ;
WHILE ((DCD(tmp-s) = true) for any sibling goal tmp-s of tmp)
DO
  [ (5:3) Change DCD(tmp-p) to true for the parent goal tmp-p of tmp ] ;
  [ Let tmp be tmp-p ]
OD ;
[ (1:4) Change NTG(lf) to true for the goal lf that is the first leaf goal
  in the lexicographic order such that (DCD(lf) = false)
  if such goal lf exists ]

```

2.7 :select

Let *gl* and *tg* be goals such that (NTG(*tg*) = **true**). The command
:select gl .
 changes NTG(*tg*) to **false** and NTG(*gl*) to **true**.

2.8 :apply(*id*₁ *id*₂ ... *id*_{*n*})

Let *tg* be a goal such that (NTG(*tg*) = **true**), and let each *id*_{*i*} (*i* ∈ {1, 2, ... *n*})
 be the built-in command name **rd-** or **rd**, or the defined name of a **:csp**...,
:init..., or (**:...**) command (see 2.2). Then the effect of the execution of
:apply(*id*₁ *id*₂ ... *id*_{*n*})
 on NTG(-), CTM(-), INA(-), STP(-), DCD(-) is defined as follows.

2.8.1 *id*₁ = :csp...

Let *id*₁ be the name of a **:csp** command defined as
:def *id*₁ = :csp{*eq*₁ *eq*₂ ... *eq*_{*n*}} (*n* ∈ {1, 2, ...})
 then
:apply(*id*₁ *id*₂ ... *id*_{*n*}) =
:apply(*id*₁)
:select *tg-1* . :apply(*id*₂ ... *id*_{*n*})
:select *tg-2* . :apply(*id*₂ ... *id*_{*n*})
 ...
:select *tg-n* . :apply(*id*₂ ... *id*_{*n*}) .

2.8.2 *id*₁ = :init...

Let *id*₁ be the name of a **:init** command defined as
:def *id*₁ = :init...
 then
:apply(*id*₁ *id*₂ ... *id*_{*n*}) = :apply(*id*₁) :apply(*id*₂ ... *id*_{*n*}) .

2.8.3 $id_1 = (\dots)$

Let id_1 be the name of a (\dots) command defined as

```
:def id_1 = (ids_1 ids_2 ... ids_m) (m ∈ {1, 2, ...})
```

then

```
:apply(id_1 id_2 ... id_n) = :apply(ids_1 ids_2 ... ids_m id_2 ... id_n) .
```

2.8.4 $id_1 = \text{rd-}$ or rd

Let (a) tg be the goal such that $(\text{NTG}(tg) = \text{true})$ and (b) id_1 be rd- or rd .

If $\text{DCD}(tg)$ is **true** after executing `:apply(id_1)` then

```
:apply(id_1 id_2 ... id_n) = :apply(id_1) .
```

If $\text{DCD}(tg)$ is not **true** after executing `:apply(id_1)` then

```
:apply(id_1 id_2 ... id_n) = :apply(id_1) :apply(id_2 ... id_n) .
```

2.9 `:show` and `:describe`

The `:show` and `:describe` commands show the status of the current proof tree.

`:show` can be abbreviated as `:sh`, and `:describe` can be abbreviated as `:desc`.

- ▷ `:show proof`
shows all the names of goals in the current proof tree with $\text{NTG}(-)$ and $\text{DCD}(-)$.
- ▷ `:show unproved`
shows $\text{CTM}(-)$, $\text{INA}(-)$, $\text{STP}(-)$, $\text{DCD}(-)$ for all the leaf goals in the current proof tree such that $(\text{DCD}(-) = \text{false})$.
- ▷ `:show discharged`
shows the discharged sentence with its context module.
- ▷ `:show goal`
shows $\text{CTM}(gl)$, $\text{INA}(gl)$, $\text{STP}(gl)$, $\text{DCD}(gl)$ for the goal gl such that $(\text{NTG}(gl) = \text{true})$.
- ▷ `:show goal gl`
shows $\text{CTM}(gl)$, $\text{INA}(gl)$, $\text{STP}(gl)$, $\text{DCD}(gl)$ for the goal gl .
- ▷ `:show def`
shows all the command names defined with `:def` commands.
- ▷ `:describe proof`
shows $\text{CTM}(-)$, $\text{INA}(-)$, $\text{STP}(-)$, $\text{DCD}(-)$ for all the goals in the current proof tree.

2.10 `:red`

- ▷ `:red trm .`
shows the normal form of the term *trm* in the goal *tg* such that $(\text{NTG}(tg) = \text{true})$.
- ▷ `:red in gl : trm .`
shows the normal form of the term *trm* in the goal *gl*.

2.11 `:set`

- ▷ `:set(verbose,on)`
sets the PTcalc flag **verbose** on, and makes outputs from PTcalc more detailed.
- ▷ `:set(verbose,off)`
sets the PTcalc flag **verbose** off.
- ▷ `:set(verbose,show)`
shows the value of the PTcalc flag **verbose**.